

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

3336.1016-003

First Named Inventor or  
Application Identifier

Foster D. Hinshaw

Express Mail Label No.

EV 215730307 US

Title of  
Invention

ASYMMETRIC DATA STREAMING ARCHITECTURE HAVING AUTONOMOUS  
AND ASYNCHRONOUS JOB PROCESSING UNIT

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☐ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☒ Specification  
(preferred arrangement set forth below) **Total Pages [60]**

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets [9]**  
☒ Fig. of the Drawings for Publication [1]  
☐ No Figure to be Published

4. ☐ Oath or Declaration **Total Pages [ ]**

- a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 C.F.R. 1.63(d)(2)  
and 1.33(b).

5. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form  
b. ☐ Paper Copy (identical to computer copy)  
[ ] Pages

- c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee -  
Netezza Corporation  
Framingham, MA

8. ☐ Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement

9. ☐ English Translation Document (if applicable)

10. ☒ Information Disclosure  
Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard

13. ☐ Small Entity Statement(s)

- 14a. ☐ Foreign Priority Claim under 35 U.S.C. §119 or 365

- 14b. ☐ Certified Copy of Priority Document(s)

15. ☐ Nonpublication Request (check parent application)

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner: of prior application No.:

Group Art Unit:

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is  
hereby incorporated by reference.  
(Add standard Related Applications section with incorporation by reference to specification or update same)

### 18. CORRESPONDENCE ADDRESS

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09/18/03

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